

# Higher-order model-checking, categorical semantics, and linear logic

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# Model-checking higher-order programs

- Construct a **model**  $\mathcal{M}$  of a program
- Specify a **property**  $\varphi$  in an appropriate **logic**
- Make them **interact**: the result is whether

$$\mathcal{M} \models \varphi$$

If  $\mathcal{M}$  is a word, a tree... of actions: translate  $\varphi$  to an **equivalent automaton**:

$$\varphi \mapsto \mathcal{A}_\varphi$$

# Model-checking higher-order programs

For higher-order programs with recursion:

$\mathcal{M}$  is a **higher-order tree**:  
a tree produced by a **higher-order recursion schemes** (HORS)

over which we run

an **alternating parity tree automaton** (APT)  $\mathcal{A}_\varphi$

corresponding to a

**modal  $\mu$ -calculus** formula  $\varphi$ .

(NB: here modal  $\mu$ -calculus is equivalent to MSO)

## Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = \text{L Nil} \\ L x & = \text{if } x (\text{L (data } x \text{ ) )} \end{cases}$$

A HORS is a kind of **deterministic higher-order grammar**.

Rewrite rules have (higher-order) **parameters**.

“Everything” is **simply-typed**.

Rewriting produces a **tree**  $\langle \mathcal{G} \rangle$ .

## Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = L \text{ Nil} \\ L x & = \text{if } x (L (\text{data } x)) \end{cases}$$

Rewriting starts from the **start symbol** S:

$$S \quad \rightarrow_{\mathcal{G}} \quad \begin{array}{c} L \\ | \\ \text{Nil} \end{array}$$

# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = L \text{ Nil} \\ L x & = \text{if } x (L (\text{data } x)) \end{cases}$$

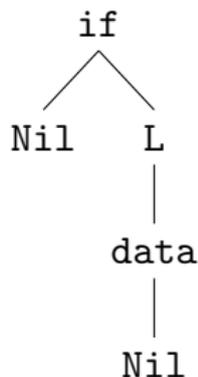
L  
|  
Nil

$\rightarrow_{\mathcal{G}}$

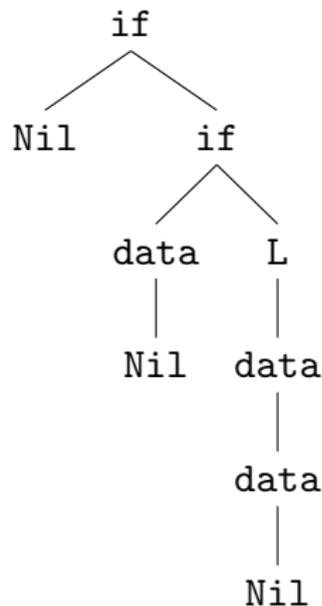
if  
/ \  
Nil L  
|  
data  
|  
Nil

# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = L \text{ Nil} \\ L x & = \text{if } x (L (\text{data } x)) \end{cases}$$



$\rightarrow_{\mathcal{G}}$



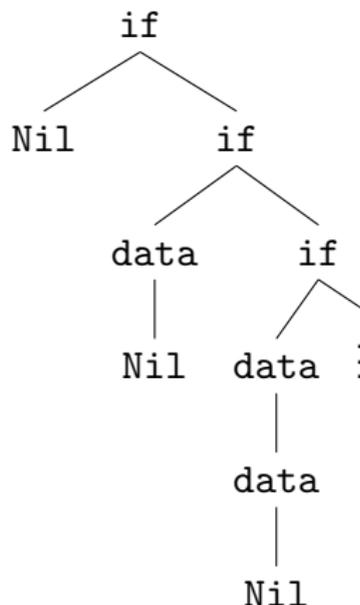
# Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = L \text{ Nil} \\ L x & = \text{if } x (L (\text{data } x)) \end{cases}$$

$\langle \mathcal{G} \rangle$  is an infinite  
**non-regular** tree.

It is our model  $\mathcal{M}$ .

How to model-check a  
non-regular tree?



## Higher-order recursion schemes

$$\mathcal{G} = \begin{cases} S & = L \text{ Nil} \\ L x & = \text{if } x (L (\text{data } x)) \end{cases}$$

HORS can alternatively be seen as **simply-typed**  $\lambda$ -terms with

free variables of **order at most 1** (= tree constructors)

and

**simply-typed recursion operators**  $Y_\sigma : (\sigma \Rightarrow \sigma) \Rightarrow \sigma$ .

Here :  $\mathcal{G} \rightsquigarrow (Y_{\sigma \Rightarrow \sigma}(\lambda L. \lambda x. \text{if } x (L (\text{data } x)))) \text{ Nil}$

So, **we can interpret HORS in models of the  $\lambda Y$ -calculus.**

# Alternating parity tree automata

For a modal  $\mu$ -calculus formula  $\varphi$ ,

$$\langle \mathcal{G} \rangle \models \varphi$$

iff an equivalent APT  $\mathcal{A}_\varphi$  has a run over  $\langle \mathcal{G} \rangle$ .

APT = **alternating** tree automata (ATA) + **parity** condition.

**Our goal:** interpret HORS in a model **reflecting the behavior of  $\mathcal{A}_\phi$** .

# Alternating tree automata

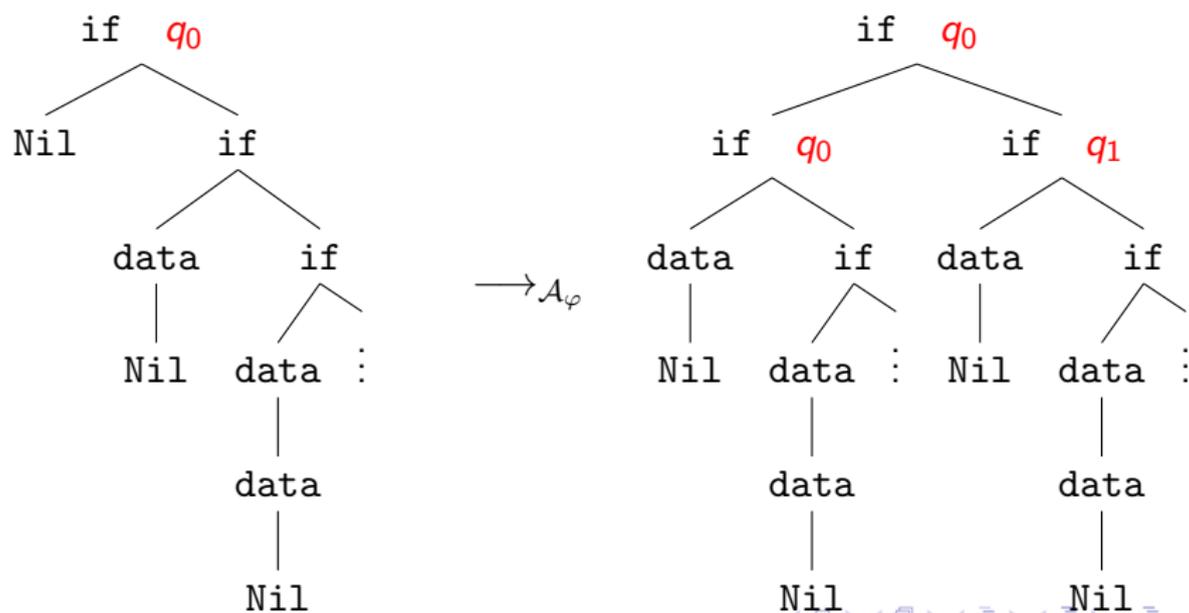
ATA: **non-deterministic** tree automata whose transitions may **duplicate** or **drop** a subtree.

Typically:  $\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$ .

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Typically:  $\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$ .

This infinite process produces a **run-tree** of  $\mathcal{A}_\varphi$  over  $\langle \mathcal{G} \rangle$ .

It is an infinite, **unranked** tree.

# Alternating tree automata and intersection types

A key remark (Kobayashi 2009):

$$\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$$

can be seen as the intersection typing

$$\text{if} : \emptyset \Rightarrow (q_0 \wedge q_1) \Rightarrow q_0$$

refining the simple typing

$$\text{if} : o \Rightarrow o \Rightarrow o$$

# Alternating tree automata and intersection types

In a derivation typing  $\text{if } T_1 \ T_2 :$

$$\text{App} \frac{\delta \frac{\emptyset \vdash \text{if} : \emptyset \Rightarrow (q_0 \wedge q_1) \Rightarrow q_0}{\emptyset \vdash \text{if } T_1 : (q_0 \wedge q_1) \Rightarrow q_0} \quad \frac{\vdots}{\Gamma_1 \vdash T_2 : q_0} \quad \frac{\vdots}{\Gamma_1 \vdash T_2 : q_1}}{\emptyset \vdash \text{if } T_1 \ T_2 : q_0}$$

Intersection types naturally lift to higher-order – and thus to  $\mathcal{G}$ , which **finitely** represents  $\langle \mathcal{G} \rangle$ .

## Theorem (Kobayashi)

$\emptyset \vdash \mathcal{G} : q_0$     *iff*    the ATA  $\mathcal{A}_\varphi$  has a run-tree over  $\langle \mathcal{G} \rangle$ .

**A step towards decidability...**

# Intersection types and linear logic

$$A \Rightarrow B = !A \multimap B$$

A program of type  $A \Rightarrow B$

**duplicates or drops** elements of  $A$

and then

uses **linearly** (= once) each copy

**Just as intersection types and APT.**

# Intersection types and linear logic

$$A \Rightarrow B = !A \multimap B$$

Two interpretations of the exponential modality:

**Qualitative** models  
(Scott semantics)

$$!A = \mathcal{P}_{fin}(A)$$

$$\llbracket o \Rightarrow o \rrbracket = \mathcal{P}_{fin}(Q) \times Q$$

$$\{q_0, q_0, q_1\} = \{q_0, q_1\}$$

**Order closure**

**Quantitative** models  
(Relational semantics)

$$!A = \mathcal{M}_{fin}(A)$$

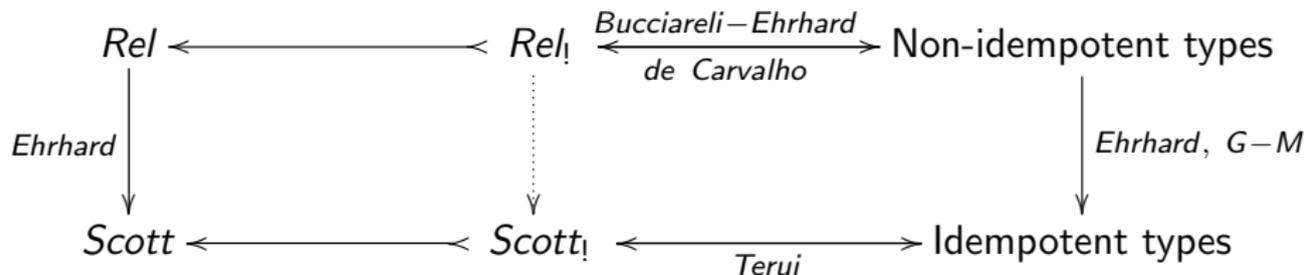
$$\llbracket o \Rightarrow o \rrbracket = \mathcal{M}_{fin}(Q) \times Q$$

$$[q_0, q_0, q_1] \neq [q_0, q_1]$$

**Unbounded multiplicities**

# Intersection types and linear logic

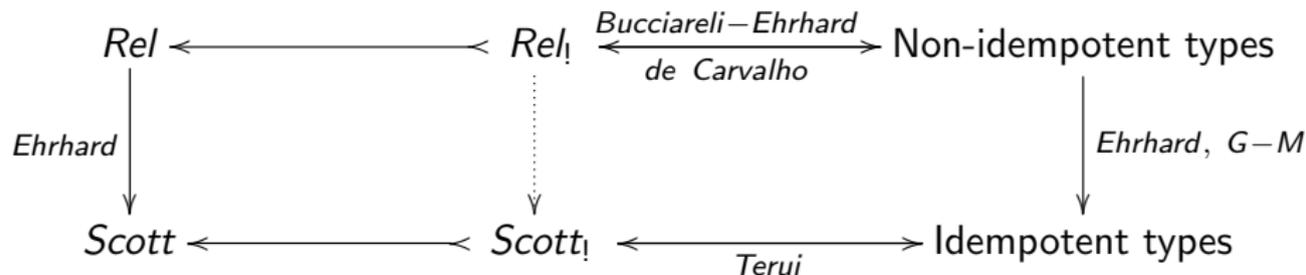
Models of linear logic and intersection types (refining simple types):



$$\begin{array}{ccc}
 [q_0, q_0, q_1] \multimap q_0 \vdash & \longrightarrow & q_0 \wedge q_0 \wedge q_1 \rightarrow q_0 \\
 \downarrow & & \downarrow \\
 \{q_0, q_1\} \multimap q_0 \vdash & \longrightarrow & q_0 \wedge q_1 \rightarrow q_0
 \end{array}$$

# Intersection types and linear logic

Models of linear logic and intersection types (refining simple types):



**Fundamental idea:** derivations of the intersection type systems compute denotations in the associated model.

## Four theorems: inductive version

We obtain a theorem for every corner of our “equivalence square”:

### Theorem

In the *relational (resp. Scott) semantics*,

$q_0 \in \llbracket \mathcal{G} \rrbracket$  iff the ATA  $\mathcal{A}_\phi$  has a *finite* run-tree over  $\langle \mathcal{G} \rangle$ .

### Theorem

With *non-idempotent (resp. idempotent with subtyping) intersection types*,

$\vdash \mathcal{G} : q_0$  iff the ATA  $\mathcal{A}_\phi$  has a *finite* run-tree over  $\langle \mathcal{G} \rangle$ .

# An infinitary model of linear logic

*Rel* and non-idempotent types **lack of a countable multiplicity  $\omega$** .

Recall that tree constructors are free variables. . .

In *Rel*, we introduce a new exponential  $A \mapsto \downarrow A$  s.t.

$$\llbracket \downarrow A \rrbracket = \mathcal{M}_{count}(\llbracket A \rrbracket)$$

(**finite-or-countable** multisets), so that

$$\llbracket A \Rightarrow B \rrbracket = \downarrow \llbracket A \rrbracket \multimap \llbracket B \rrbracket = \mathcal{M}_{count}(\llbracket A \rrbracket) \times \llbracket B \rrbracket$$

# An infinitary model of linear logic

This defines an **infinitary model of linear logic**, which corresponds to non-idempotent intersection types **with countable multiplicities** and derivations of **countable depth**.

It admits a **coinductive** fixpoint, which we use to interpret  $Y$ .

The four theorems generalize to all ATA ( $\rightarrow$  infinite runs).

And the **parity condition** ?

# Alternating **parity** tree automata

MSO allows to discriminate **inductive** from **coinductive** behaviour.

This allows to express properties as

“a given operation is executed infinitely often in some execution”

or

“after a read operation, a write eventually occurs”.

# Alternating **parity** tree automata

Each state of an APT receives a **color**

$$\Omega(q) \in Col \subseteq \mathbb{N}$$

An infinite branch of a run-tree is **winning** iff the **maximal color among the ones occurring infinitely often along it is even**.

A run-tree is **winning** iff all its infinite branches are.

For a modal  $\mu$ -calculus formula  $\varphi$ :

$\mathcal{A}_\varphi$  has a **winning** run-tree over  $\langle \mathcal{G} \rangle$  iff  $\langle \mathcal{G} \rangle \models \phi$

# Alternating parity tree automata

Kobayashi and Ong's type system has a quite complex handling of colors.

We reformulate it in a very simple way:

$$\delta(q_0, \text{if}) = (2, q_0) \wedge (2, q_1)$$

now corresponds to

$$\text{if} : \emptyset \Rightarrow (\Box_{\Omega(q_0)} q_0 \wedge \Box_{\Omega(q_1)} q_1) \Rightarrow q_0$$

Application computes the “local” maximum of colors, and the fixpoint deals with the acceptance condition.

In this reformulation, the colors behave as a family of modalities.

# The coloring comonad

Since coloring is a modality, it defines a **comonad** in the semantics:

$$\Box A = Col \times A$$

which can be composed with  $\Downarrow$  thanks to a **distributive law**.

Now:

$$\llbracket A \Rightarrow B \rrbracket = \Downarrow \Box \llbracket A \rrbracket \multimap \llbracket B \rrbracket = \mathcal{M}_{count}(Col \times \llbracket A \rrbracket) \times \llbracket B \rrbracket$$

We obtain a model of the  $\lambda$ -calculus which **reflects the coloring** by  $\mathcal{A}_\phi$ .

# An inductive-coinductive fixpoint operator

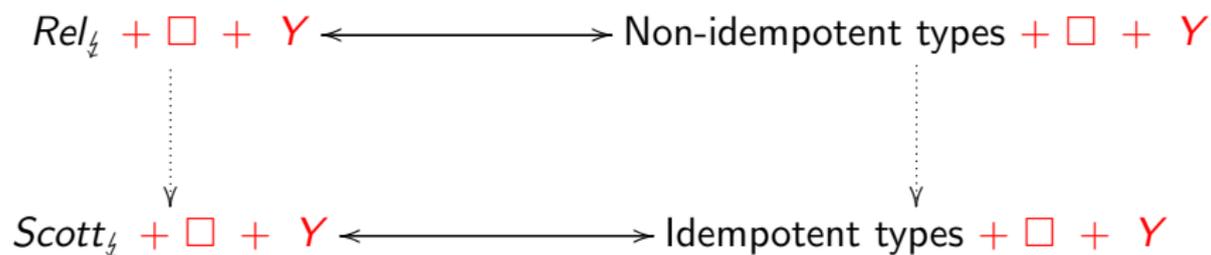
We define a **fixpoint operator**:

- On typing derivations: rephrasal of the parity condition over derivations  $\longrightarrow$  **winning derivations**.
- On denotations: it **composes inductively or coinductively** elements of the semantics, according to the current color.

The key here: **parity conditions can be lifted to higher-order**.

The fixpoint can also be defined using  $\mu$  and  $\nu$ .

# The final picture



**Open question:** are the dotted lines an extensional collapse again?

## Four theorems: full version

We obtain a theorem for every corner of our “colored equivalence square”:

### Theorem (G-Melliès 2015)

In the *colored relational* (resp. *colored Scott*) semantics,

$q_0 \in \llbracket \mathcal{G} \rrbracket$  iff the *APT*  $\mathcal{A}_\phi$  has a *winning* run-tree over  $\langle \mathcal{G} \rangle$ .

### Theorem (G-Melliès 2015)

With *colored non-idempotent* (resp. *colored idempotent with subtyping*) intersection types, there is a *winning derivation* of

$\vdash \mathcal{G} : q_0$  iff the *APT*  $\mathcal{A}_\phi$  has a *winning* run-tree over  $\langle \mathcal{G} \rangle$ .

# The selection problem

In the Scott/idempotent case, **finiteness**  $\Rightarrow$  decidability of the higher-order model-checking problem.

Even better: the **selection problem** is decidable.

# The selection problem

$$\begin{cases} S & = & L \text{ Nil} \\ L & = & \lambda x. \text{if } x \text{ (L (data } x)) \end{cases}$$

becomes e.g.

$$\left\{ \begin{array}{l} S^{q_0} = L^{\{q_0, q_1\} \rightarrow q_0} \text{ Nil}^{q_0} \text{ Nil}^{q_1} \\ \\ \\ L^{\{q_0, q_1\} \rightarrow q_0} = \lambda x^{\{q_0, q_1\}}. \\ L^{\{q_0\} \rightarrow q_1} = \dots \\ L^{\{q_1\} \rightarrow q_0} = \dots \end{array} \right.$$

```

graph TD
    A["if\emptyset \to \{q_0, q_1\} \to q_0"] --> B["L\{q_1\} \to q_0"]
    A --> C["L\{q_0\} \to q_1"]
    B --> D["data\{q_0\} \to q_1"]
    C --> E["data\{q_0, q_1\} \to q_0"]
    D --> F["xq_0"]
    E --> G["xq_0"]
    E --> H["xq_1"]
    
```

# Conclusion

- **Higher-order model-checking** → verification of **non-regular trees**.
- **Semantic methods** allow to study the term generating them.
- Models of **linear logic** can be extended to capture parity conditions.
- The semantic of a term reflects whether it satisfies a given property.
- **Decidability** → existence of a **finite model**.

Thank you for your attention!

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